

IN THE UNITED STATES DISTRICT COURT  
FOR THE NORTHERN DISTRICT OF TEXAS  
DALLAS DIVISION

SECURITIES AND EXCHANGE	§	
COMMISSION,	§	
	§	
Plaintiff,	§	
	§	Civil Action No. 3:16-CV-1735-D
VS.	§	
	§	
CHRISTOPHER A. FAULKNER, et al.,	§	
	§	
Defendants.	§	

**ORDER**

Considering the August 19, 2019 response of plaintiff Securities and Exchange Commission (“SEC”) to the court’s August 8, 2019 order requiring a status report, and subsequent developments in this case, the court enters the following order.

No later than April 8, 2021 the SEC must file a status report that addresses what remains—other than administration of the receivership estate—before this case can be concluded by trial or other disposition.

Additionally, in other civil enforcement actions of this type, when the only proceedings that remain relate to administration of the receivership estate, the court typically administratively closes the case for statistical purposes. The status report should address the SEC’s position regarding whether such a statistical closure would be appropriate at this time.

**SO ORDERED.**

March 25, 2021.

  
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SIDNEY A. FITZWATER  
SENIOR JUDGE