

**IN THE UNITED STATES DISTRICT COURT
FOR THE NORTHERN DISTRICT OF TEXAS
DALLAS DIVISION**

THOMAS L. TAYLOR, III, in his capacity
as Court-appointed temporary receiver for
Breitling Energy Corp. et al.,

Plaintiff,

v.

ROTHSTEIN KASS P.A. d/b/a ROTHSTEIN
KASS & CO. P.C., ROTHSTEIN KASS &
COMPANY, PLLC and BRIAN MATLOCK,

Defendants.

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NO. 3:19-cv-01594-D

DEFENDANTS' CERTIFICATE OF INTERESTED PERSONS

Pursuant to Fed. R. Civ. P. 7.1 and Local Rules 3.1(c) and 7.4, Defendants Rothstein Kass P.A. d/b/a Rothstein Kass & Co. P.C., Rothstein Kass & Company, PLLC, and Brian Matlock file this Certificate of Interested Persons, reflecting persons financially interested in the outcome of this case:

1. Rothstein Kass P.A. d/b/a Rothstein Kass & Co. P.C.
2. Rothstein Kass & Company, PLLC
3. Brian Matlock
4. Claimants in and entities within the Receivership of Breitling Energy Corp. et al. in *SEC v. Faulkner et al.*, Docket No. 3:16-cv-01735-D (N.D. Texas)
5. Continental Insurance Company of New Jersey
6. Swiss Re International SE
7. Catlin Specialty Insurance Company
8. Colony Insurance Company

9. AON Insurance Services
10. North River Insurance Company
11. Aspen Specialty Insurance Company
12. Jinsun, L.L.C.
13. Silver Star Holdings Trust
14. TPH Holdings, L.L.C.
15. Vertical Holdings, L.L.C.
16. Kevan Casey
17. Steven M. Plumb
18. J. Leonard Ivins

Defendant Rothstein Kass & Company PLLC's parent is Defendant Rothstein Kass P.A. (d/b/a Rothstein Kass & Co. P.C.). Defendant Brian Matlock is an individual. Defendants are unaware whether the other interested persons have a parent corporation or a publicly traded shareholder that owns 10% or more of its stock.

Date: May 8, 2020

Respectfully submitted

By: /s/ Nicolas Morgan

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and BRIAN MATLOCK**

CERTIFICATE OF SERVICE

This is to certify that a true and correct copy of the foregoing document has been served to all counsel of record in accordance with the Federal Rules of Civil Procedure on May 8, 2020 via e-mail.

/s/ Nicolas Morgan

Nicolas Morgan