

**IN THE UNITED STATES DISTRICT COURT
FOR THE NORTHERN DISTRICT OF TEXAS
DALLAS DIVISION**

THOMAS L. TAYLOR, III, in his capacity	§	
as Court-appointed temporary receiver for	§	
Breitling Energy Corp. et al.,	§	
	§	
Plaintiff,	§	
	§	
v.	§	NO. 3:19-cv-01594-D
	§	
ROTHSTEIN KASS & COMPANY, PLLC	§	
and BRIAN MATLOCK,	§	
	§	
Defendants.	§	

DEFENDANTS’ CERTIFICATE OF INTERESTED PERSONS

Pursuant to Fed. R. Civ. P. 7.1 and Local Rules 3.1(c) and 7.4, Defendants Rothstein Kass & Company, PLLC and Brian Matlock file this Certificate of Interested Persons, reflecting persons financially interested in the outcome of this case:

1. Rothstein Kass & Company, PLLC
2. Brian Matlock
3. Claimants in and entities within the Receivership of Breitling Energy Corp. et al. in *SEC v. Faulkner et al.*, Docket No. 3:16-cv-01735-D (N.D. Texas)
4. Continental Insurance Company of New Jersey
5. Swiss Re International SE
6. Catlin Specialty Insurance Company
7. Colony Insurance Company
8. AON Insurance Services
9. North River Insurance Company
10. Aspen Specialty Insurance Company

11. Jinsun, L.L.C.
12. Silver Star Holdings Trust
13. TPH Holdings, L.L.C.
14. Vertical Holdings, L.L.C.
15. Kevan Casey
16. Steven M. Plumb
17. J. Leonard Ivins

Defendant Rothstein Kass & Company PLLC's parent is Rothstein Kass PA (dba Rothstein Kass). Defendant Brian Matlock is an individual. Defendants are unaware whether the other interested persons have a parent corporation or a publicly traded shareholder that owns 10% or more of its stock.

Date: November 6, 2019

Respectfully submitted

By: /s/ Nicolas Morgan

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Attorneys for Defendants

ROTHSTEIN, KASS & COMPANY, PLLC and

BRIAN MATLOCK

CERTIFICATE OF SERVICE

This is to certify that a true and correct copy of the foregoing document has been served to all counsel of record in accordance with the Federal Rules of Civil Procedure on November 6, 2019 via e-mail.

/s/ Nicolas Morgan

Nicolas Morgan